

# BELMONT FORUM

## Researchers and stakeholders address coastal vulnerability and freshwater security

### Belmont Forum Synthesis Workshop

10-12 December 2016  
San Francisco, California

The Belmont Forum is a consortium of research funders in over 50 countries on six continents that have targeted funds toward research that actively integrates stakeholders into a co-design/co-development process with researchers. In 2009, the Forum articulated the **Belmont Challenge**:

*To support international transdisciplinary research providing knowledge for understanding, mitigating, and adapting to global environmental change.*



C.K. Shum



Vanessa Vargas



Jialiang Gao

Top: Researcher Didier Mallard working alongside stakeholders in Bangladesh. Bottom left: Participants of the Belmont Forum Synthesis Workshop in San Francisco, CA. Bottom right: Water dwelling communities in Tonle Sap, Cambodia.

# Real solutions to global problems



Effective and sustainable responses to global change require concerted cross-sectoral collaboration to develop reliable knowledge and equitable solutions. However, the scope of the issues faced can often exceed the capacity of individual organizations or national remits to realize these goals.

Therefore, the Belmont Forum, a group of the world's major and emerging funders of global change research, leverages investments and interest from across a breadth of institutions to spur the critical innovation and transformation that will result in a more sustainable future.

The Belmont Forum is committed to fostering solutions to global sustainability challenges through innovative transdisciplinary research. This newsletter represents a synthesis of the results of the thirteen funded projects in Coastal Vulnerability and Freshwater Security, revealing real solutions for informed and deliberative decision making about sustainable resource use and development. The results provide further evidence that intense stakeholder engagement to co-develop locally based solutions is an approach that should be continued, expanded, and refined.

## Synthesis Workshop



**Stakeholders engaged in:**

- co-design **310+**
- implementation **850+**

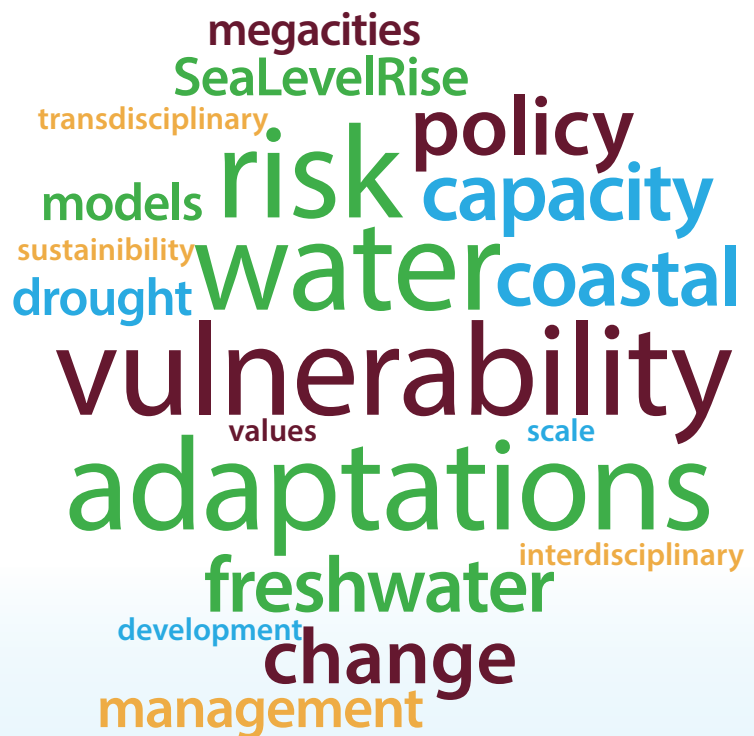


**Next generation training of:**

- undergraduates **58**
- graduates **106**
- post-docs **53**

*Workshop participants estimated stakeholder engagement and academic training provided by the Coastal Vulnerability and Freshwater Security Projects.*

The Belmont Forum Synthesis Workshop was convened by the Belmont Forum and facilitated by FutureEarth Coasts and the University of Maryland Center for Environmental Science in San Francisco, CA on 10-12 December 2016. Eleven of the thirteen funded project teams from around the globe addressing complex coastal vulnerability and freshwater security issues were present. This Workshop summary provides a brief overview of each project, identifies common themes that emerged, and provides recommendations for future Belmont Forum projects.



*Key features of Belmont Forum projects in Coastal Vulnerability and Freshwater Security.*

# Common themes

There are six thematic areas that demonstrate how Belmont Forum projects move beyond established knowledge and show the implications of new findings. The cornerstone of this success is the Forum's use of integrated working relationships. Although the improvement of scientific models, tools, and approaches is invariably critical to a project's findings, the Forum projects' use of collaboration between researchers and stakeholders has facilitated a variety of cascading actions.

## Content: Framing the project mindsets

- Community centered approaches drive solutions that are limited by fragmented interventions.
- Adaptation is driven by values, scale, and context.
- Co-production and co-design create opportunities to extend projects' impacts, connecting stakeholders, and addressing gaps between society, policy, and practice.

## Co-design: Involving stakeholders

- Engagement, credibility, legitimacy, and data quality are important for stakeholder buy-in and impact.
- Sensitivity to issues and contexts are necessary to solve societal problems.
- Legacies of projects and the communities are often serendipitous results that go beyond the scope and ownership of involved researchers and stakeholders.

## Gaps: Identifying next steps

- Improve dissemination and communication of products to end users and decision-makers.
- Coordinate among multiple actors and integrate research and engagement efforts to overcome numerous logistical challenges.
- Reconcile scales of time, space, and complexity with the need for long-term, large-scale planning, and policy for maximum impact.

## Transdisciplinary benefits: Understanding the why

- Re-framing questions and problems through transdisciplinary thinking provide ways of tackling complex problems with no definitive solutions.
- Bridging disciplines enhances and benefits disciplinary perspectives by strengthening the capacity, engagement, and practice of each discipline.
- Developing a greater understanding of what decision-makers need from scientific studies to augment the science-policy-practice cycle.

## Achievements: Moving the knowledge needle

- Integrated hazards data and human factors (e.g., values, governance, culture, economic) develop credible and appropriate adaptation actions.
- Novel approaches and innovative tools and methods are developed.
- Facilitated learning and capacity building promote action at multiple locations and scales.

## Transdisciplinary challenges: Pushing the boundaries

- Communicating and translating from technical to common descriptions, while finding common ground between, within, and across disciplines.
- Appreciating different frames of reference by respecting the values, biases, assumptions, and agendas of both researchers and stakeholders.
- Building trust and relationships in the face of continuity challenges and varying levels of social memory.



Heath Keisey & Vanessa Vargas

*The December 2016 Synthesis Workshop served as an excellent medium for managers from a diverse set of projects to learn from each other. In addition, when the projects' results were knitted together, promising approaches were revealed for addressing some of the world's most pressing and difficult issues.*

# Approaches

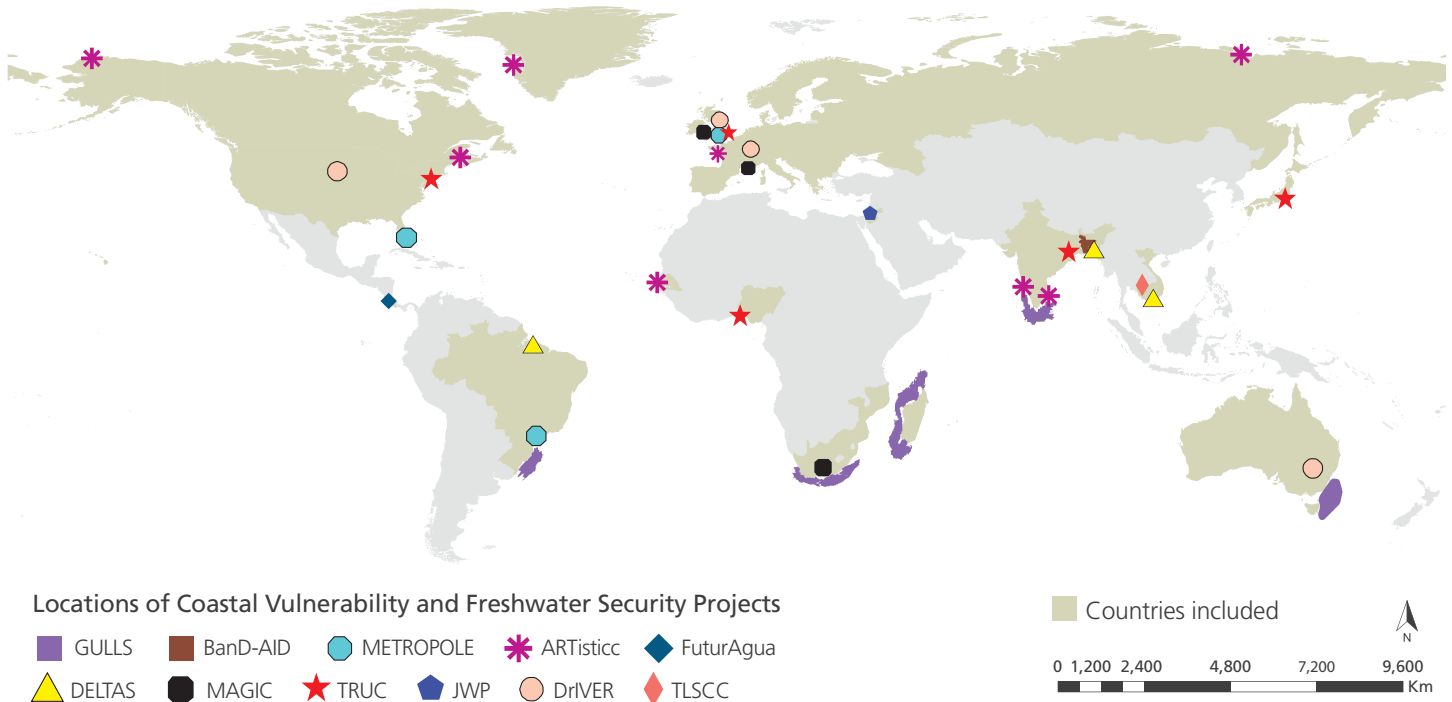
Stakeholders worked with research teams to co-design research projects to address a diversity of complex coastal vulnerability issues (e.g., sea-level rise, urban development) and freshwater security issues (e.g., drought, water management). Stakeholders contributed their values and priorities and the research teams contributed tools and expertise.

The projects employed a variety of scientific tools (e.g., models, remote sensing, field measures, GIS) as well as a variety of tools for stakeholder engagement (e.g., workshops, interviews, questionnaires, videos, websites, art shows). Various options and scenarios to aid in decision making were produced to address coastal vulnerability and freshwater security issues.

The common approach developed for Belmont Forum projects was to have stakeholders and researchers co-design projects, and then a variety of stakeholder engagement tools and scientific tools were employed to produce project outputs. These outputs facilitated knowledge exchange between stakeholders and researchers for implementation into management, policy, and decision making.



# Projects were transnational



Represented here are the countries that were studied in the Coastal Vulnerability and Freshwater Security initiatives, and the specific locations where each of the eleven projects represented in the Workshop were active.



# Project summaries

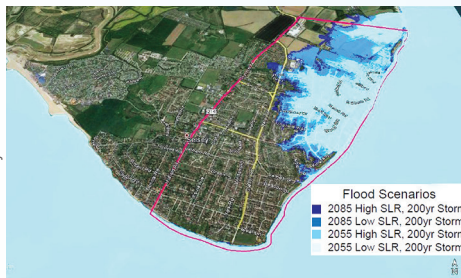
## Developing freshwater security in water stressed Jordan (JWP)

Exploring coupled human-natural systems during a period of rapid environmental change has provided transdisciplinary insights into the future options for managing scarce water resources in Jordan. But understanding complex human-natural system interactions and feedbacks remains challenging in the context of hierarchical decision making. By integrating human observational research with quantitative forecasting models, the JWP team developed an integrated hydro-economic framework to evaluate water policy interventions in water-stressed countries, such as Jordan.



Julien Harou

Government partners in Jordan.



METROPOLE Project

Study area and flooding scenarios when seawall fails.

## Enhancing decision-making in coastal cities in Brazil, United Kingdom, and United States (METROPOLE)

Coastal cities face a spectrum of impacts due to flooding caused by storm surge and future sea level rise. Social and cultural factors increase the complexity of these issues, potentially hindering institutional capacity to effectively adapt. METROPOLE worked with municipal partners in Brazil, the UK, and the US to investigate how social, cultural, and governance factors impact decision making and local government's capacity to adapt. METROPOLE provided flooding/sea level rise assessments, conducted workshops, and met one-on-one with policymakers and stakeholders. Municipalities gained new information about their vulnerabilities, possible adaptation options, financial challenges, and catalyzed new initiatives. Results are strengthening planner continuing education.

## Reducing climate vulnerability of coastal fishing communities on four continents (GULLS)

Coastal fishing communities are vulnerable to multiple stressors including climate change. GULLS focuses on five marine hotspots, where change is most rapid: southeastern Australia, South Brazil Bight, southern India, Mozambique Channel (western Indian Ocean) and South Africa (southern Benguela). It uses transdisciplinary tools through participatory frameworks to understand the opportunities and threats and identify options for an improved future for affected communities.



Lucy Scott

Participatory mapping in Ambola, southwest Madagascar.



Steffen Gephardt

Eroding coastline of the Mekong Delta.

## Increasing sustainability of coastal deltas in Bangladesh, Brazil, and Vietnam (DELTAS)

Deltas are bread baskets for large global areas, and are also complex, fragile social-ecological systems. The fragility of these systems and uncertainties around climate change impacts mean that unique ecosystems and millions of people are under threat. The DELTAS Project tackled this complexity by working together across disciplines and with key local stakeholders in the Amazon, Mekong, and Ganges-Brahmaputra deltas to develop comprehensive risk assessment frameworks which incorporate environmental, physical, and social indicators and are applicable to deltas globally. The DELTAS approach can be adopted for other vulnerable areas, including drylands, glaciers, and urban areas to analyze contemporary and future risks and explore scenarios for risk-reducing investments.

# Project summaries (continued)

## Adaptation planning for global change in France, South Africa, and United Kingdom (MAGIC)

Adaptation planning to address the impacts of global change is becoming increasingly common, yet lacks consistency in framing, methods, and assumptions. These contradictions and contrasts often lead to maladaptation. A combination of modelling, interviews, and participatory exercises with stakeholders in Cornwall UK, South Africa, and southern France has led to new insights and understanding of the resultant knock-on effects and spill-overs between plans.



Christo Fabricius

Poorly engineered infrastructure can make coastal homes more vulnerable to storm surges in Eden District, South Africa.



J.P. Vanderlinden

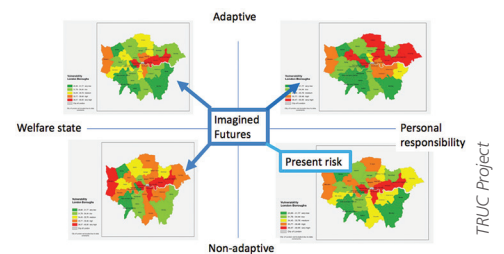
ARTisticc's consortium retreat, Senegal.

## Integrating art, culture, and science to boost adaptation in eight cities (ARTisticc)

Diversity, culture, and knowledge are key determinants of adaptation and adaptive capacity, but are not generally recognized within public policies or by decision-makers. The ARTisticc Project engaged a consortium of local communities and scientists that successfully implemented experimental devices—integrating the arts with science, social science, and the humanities—in eight sites (Brittany, France; Cocagne-Grande Digue, Canada; Vypin island and Kanyakumari region, India; Mbour, Senegal; Tiksi, Russia; Ummannaq, Greenland; and Alaska, US) on five continents.

## Vulnerability assessment in coastal megacities in India, Japan, Nigeria, United Kingdom, and United States (TRUC)

Megacities in the global south and north (Kolkata, India; Lagos, Nigeria; London, United Kingdom; New York, United States; and Tokyo, Japan) have widely varying levels of risk and vulnerability to current and potential future climate change. But city and neighborhood risk managers in these megacities face comparable governance and administrative constraints and opportunities. The TRUC Project integrates biophysical and social science approaches to vulnerability assessment to provide a comprehensive vulnerability and adaptation analysis and policymaking tool. Similar tools could be applied across a broad range of urban risk and vulnerability contexts.



TRUC Project

Vulnerability scenarios for London, UK.



C.K. Shum

Researchers and stakeholders working together in Bangladesh.

## Coping with monsoonal flooding and sea-level rise in Bangladesh (BanD-AID)

Bangladesh is under severe risk of monsoonal flooding and sea-level rise in an increasingly warming world. The country is also experiencing tremendous social change accompanying unprecedented economic growth. But traditional disciplinary approaches cannot capture the complex interactions between human and natural systems that determine coastal vulnerability. The BanD-AID team developed an integrated framework combining near real-time satellite-based monitoring systems with observed adaptation processes to model resilience and inform the ongoing Bangladesh Delta 2100 planning process.





Fishing family house on the Tonle Sap lake at full flood.

## Maintaining productivity of small-scale freshwater fisheries in Cambodia (TLSCC)

The biological and social dynamics of small-scale freshwater fisheries are poorly understood in comparison to large scale target fisheries. But these systems support the protein nutrition of 30 million people. Investigation of biological and social theories and decision support tools has provided understanding of the social dynamics and responses to foodweb ecological change at Tonle Sap lake, Cambodia. These new insights can be applied to similar systems worldwide, potentially leading to more sustainable management practices.

## Improving drought management and decision-making in Australia, United Kingdom and United States (DRIVER)

Droughts threaten water security in every climate and water use sector. But little research exists which assesses the relationship between widely-used physical indicators and drought impacts, or adequately incorporates impacts into drought monitoring systems. Combining innovative analyses, data visualization, and collaborative learning with stakeholders in Australia, the United Kingdom, and the United States, the DRIVER Project has improved understanding of the links between indicators and impacts and the diversity of drought in framing decision-making.



Conversation map from UK workshop.



Game session with schoolchildren on watershed management.

## Enhancing resilience to drought in Costa Rica (FuturAgua)

People and institutions in Guanacaste, Costa Rica, have been coping with seasonal drought for decades, but population growth, land use intensification, and changes in the distribution of rainfall have increased water stress and governance challenges. FuturAgua addresses stakeholder concerns about water through a vision of "enough water for all", and an integrated framework for interdisciplinary science. Capacity has been built for better-informed and deliberate decisions about management actions affecting water security. The framework can be transferred to other thematic and geographic areas as a way to advance science by addressing pressing societal and environmental challenges.

## Addressing water resource management in Brazil (Xingu) and southern Africa (SAHEWS)

Sustainability and equity of access to water resources is a key driver of ecosystem and human well-being, but is threatened by high climate variability, climate change, socio-economic uncertainty, and rapid changes from agricultural land expansion. The Xingu Project explored approaches to water resource governance, land management, and information transfer among regions and among water use sectors using the upper Xingu river basin in Brazil as a model example. The SAHEWS Project, on the other hand, addressed several key regional knowledge gaps in southern Africa's water-energy-food nexus, working with end-users to help realize opportunities for more effective water management by improving understanding of seasonal forecast reliability and characterization of the region's hydro-economy.



Soybean expansion in Mato Grosso, the agricultural frontier of Brazil.

# Priority actions

The Belmont Forum supported multidisciplinary teams of researchers and relevant stakeholders in their efforts to tackle complex global sustainability problems. But the limited time for projects (typically three years) meant that it was difficult to realize long term science-to-action outcomes. Thus, a variety of priority actions were identified by project leads to aid in implementation of project outcomes for future efforts.

- Promote the **transdisciplinary approach** within academic systems and career development, especially for early career researchers.
- Specify the transformation from problem-defining to problem-solving (**solution identification**) in future cooperative research actions.
- Conduct **evaluation** processes to provide feedback and add value to project outcomes.
- Facilitate **accessibility** to data interoperability, freeware, and web resources.
- Establish **communication pathways** (e.g., forums, dialogue and engagement opportunities) between researchers and resource managers to enhance the ability of project teams to aid decision-making.



Participants of the Belmont Forum Synthesis Workshop in San Francisco, CA on 10-12 December 2016.

- Focus on **science communication and dissemination** to translate research outputs to societally relevant outcomes.
- Coordinate **professional training** in stakeholder engagement approaches (e.g., communication, facilitation, and evaluation).

## Synthesis Workshop participants



Adaptation Research, a Trans-disciplinary transnational community and policy centered approach ([artisticcc.net](http://artisticcc.net)). Jean-Paul Vanderlinden and Juan Baztan (Université de Versailles Saint-Quentin-en-Yvelines).



Band-AID—Bangladesh Delta: Assessment of the Causes of Sea-level Rise Hazards and Integrated Development of Predictive Modeling Towards Mitigation and Adaptation ([belmont-bandaid.org](http://belmont-bandaid.org)). CK Shum and Joyce Chen (Ohio State University); Zahir Khan and Monowar Hossain (Institute of Water Modelling).



Catalyzing action towards sustainability of deltaic systems with an integrated modelling framework for risk assessment ([delta.umn.edu](http://delta.umn.edu)). Efi Foufoula-Georgiou (University of California, Irvine); Fabrice Renaud (United Nations University); Robert Nicholls and Zoe Matthews (University of Southampton); Tuhin Ghosh (Jadavpur University).



Drought Impacts: Vulnerability thresholds in monitoring and Early-warning Research ([drought.uni-freiburg.de](http://drought.uni-freiburg.de)). Kerstin Stahl (University of Freiburg); Lucy Barker (Centre for Ecology & Hydrology); Mark Svoboda (US National Drought Mitigation Centre, University of Nebraska Lincoln).



Enhancing Adaptation and Resilience to Drought in Dry Tropical Social-Ecological Systems: The Guanacaste, Costa Rica Example ([futuragua.ca/ubc/home](http://futuragua.ca/ubc/home)). Tim McDaniels (University of British Columbia); Gregoire Leclerc and Pierre Bommel (French Agricultural Research Centre for International Development); Gabrielle Wong-Parodi (Carnegie Mellon University); Pavel Bautista (Universidad Nacional Costa Rica).



Global learning for local solutions: Reducing vulnerability of marine-dependent coastal communities ([gullsweb.noc.ac.uk](http://gullsweb.noc.ac.uk)). Kevorn Cochrane (Rhodes University); Shyam S. Salim (Central Marine Fisheries Research Institute).



JWP—Integrated Analysis of Freshwater Resources Sustainability in Jordan ([pangea.stanford.edu/researchgroups/jordan](http://pangea.stanford.edu/researchgroups/jordan)). Steve Gorelick (Stanford University).



Multi-scale adaptations to global change and their impacts on vulnerability in coastal areas. Christo Fabricius (Nelson Mandela Metropolitan University); Olivier Barreteau (Institut national de recherche en sciences et technologies pour l'environnement et l'agriculture).



An Integrated Framework to Analyze Local Decision Making and Adaptive Capacity to Large-Scale Environmental Change: Community Case Studies in Brazil, UK and the US ([metropole.marine.usf.edu](http://metropole.marine.usf.edu)). Frank Muller-Karger and CJ Reynolds (University of South Florida); Shona Paterson (FutureEarth Coasts); Mark Pelling (King's College London).



TLSCC - Maintaining productivity and incomes in the Tonle Sap fishery in the face of climate change ([tonlesapfishery.wixsite.com/tonle-sap-fishery](http://tonlesapfishery.wixsite.com/tonle-sap-fishery)). Lee Hannah (Conservation International); Ratha Chea (University of Battambang).



Transformation and Resilience on Urban Coasts ([bel-truc.org](http://bel-truc.org)). Mark Pelling (King's College London); William Solecki (City University of New York).

## Funding agencies



Erica Key, Mao Takeuchi (Belmont Forum, [belmontforum.org](http://belmontforum.org)); Rowena Davis and Tina Lee (Belmont e-infrastructure, [bfe-inf.org](http://bfe-inf.org)); Thorsten Kiefer (FutureEarth Coasts); Reynaldo Victoria (Sao Paulo Research Foundation).



Matt Dobson (Natural Environment Research Council, [nerc.ac.uk](http://nerc.ac.uk)); Maria Uhle, Carrie Seltzer, Susanna Ehlers (National Science Foundation, [nsf.gov](http://nsf.gov)).

## Facilitation and science communication



Martin Le Tissier and Shona Paterson (FutureEarth Coasts, [futureearthcoasts.org](http://futureearthcoasts.org)).



Bill Dennison, Heath Kelsey, Vanessa Vargas (Integration & Application Network, University of Maryland Center for Environmental Science, [ian.umces.edu](http://ian.umces.edu)).

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